

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**REQUEST FOR CONTINUED EXAMINATION (RCE)**  
**& Petition To Extend - TRANSMITTAL FORM (37 C.F.R. § 1.114)**DOCKET NO.  
11129/2APPLICATION SERIAL NO.  
09/447,319EXAMINER  
Hani M. KazimiART UNIT  
3624APPLICANT(S)  
Michael GOOC**RECEIVED**

JAN 05 2004

Address to:

**Mail Stop RCE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

12/24/2003 SZENDIE1 00000095 110600 09447319

01 FC:1801 770.00 DA  
02 FC:1201 86.00 DA  
03 FC:1202 36.00 DA**GROUP 3600**

This is a **Request for Continued Examination** under 37 C.F.R. § 1.114 (RCE) of pending application Serial No. 09/447,319 filed on November 22, 1999, entitled **SYSTEM AND METHOD FOR TRADING INSTRUMENT**.

The following constitute(s) the submission **required** by 37 C.F.R. § 1.114(a) and is (are) attached:

☒ Amendment in response to the Final Office Action mailed on July 31, 2003 (attached).

☐ Other Submission: \_\_\_\_\_

1. The filing fee for this RCE and the required amendment/submission is calculated below. The fee below is calculated based on the status of the claims after the entry of the attached amendment/submission. The fee for any new additional claims is included with this RCE, the fee for previously entered additional claims having already been paid.

	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA*	RATE (\$) PER CLAIM	FEE (\$)
BASIC FEE						770.00
TOTAL CLAIMS	22	0	20	2	18.00	36.00
INDEPENDENT CLAIMS	4	0	3	1	86.00	86.00
MULTIPLE DEPENDENT CLAIM	0	0	0	0	290.00	0.00
				*Number extra must be zero or larger	TOTAL	892.00
If Applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here.						892.00
SMALL ENTITY						
TOTAL						

Express Mail No.

2. Please charge the required RCE and Submission filing fee of **\$892.00** to the deposit account number **11-0600** of **Kenyon & Kenyon**.
3. This is also a Petition pursuant to 37 C.F.R. § 1.136(a) by which Applicant(s) respectfully request(s) a two-month extension of time to extend the time to respond to the Final Office Action dated July 31, 2003 from October 31, 2003 to December 31, 2003. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.136(a) extension fees, which are believed to be **\$420.00**, to the deposit account number **11-0600** of **Kenyon & Kenyon**.
4. The Commissioner is hereby authorized, as necessary and/or appropriate, to charge payment of any other fees (including any additional and/or extension fees) required, associated with this communication or arising during the pendency of this application, or to credit any overpayment, to the deposit account number **11-0600** of **Kenyon & Kenyon**.
5. **Two duplicate copies** of this Transmittal Form and Petition are enclosed for the above purposes.

Date: 12/19/2003

Respectfully submitted,

By: Aaron C. Deditich  
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